

## AUDIT COMMITTEE – FORWARD WORK PROGRAMME

<b>AUDIT COMMITTEE – 26TH JANUARY 2021</b>			
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<b>TITLE</b>	<b>PURPOSE</b>	<b>KEY ISSUES</b>	<b>PRESENTING OFFICER</b>
Verbal Update from Audit Wales (Standing Item).	To provide an update to the Audit Committee.		Various (Audit Wales)
Caerphilly County Borough Council - Annual Audit Summary 2020.	To present the Annual Audit Summary.	The report provides a summary of work completed since the last Annual Improvement Report, which was issued in July 2019.	G. Jones (Audit Wales)
Audit Wales Report – ‘Raising Our Game’ – Tackling Fraud in Wales.	To present the key findings of the Audit Wales report.	The key issues will be summarised in a presentation.	G. Jones (Audit Wales)
Audit Wales – The National Fraud Initiative in Wales 2018 – 20.	The report advises Members of the publication of the Audit Wales document “The National Fraud Initiative in Wales 2018-2020”.	Report will incorporate outcomes of the National Fraud Initiative Wales 2018-20 and will also include details of NFI activity within Caerphilly CBC.	D. Gronow
Corporate Risk Register Update	To provide an update of the Corporate Risk Register in accordance with the Council’s Risk Management Strategy.	To provide an opportunity for the Audit Committee to satisfy itself that appropriate arrangements are in place for the Council’s risk management processes to be regularly and robustly monitored and scrutinised.	R. Roberts
Local Government and Elections (Wales) Bill	To provide the Audit Committee with details of the key proposals of the Local Government and Elections (Wales) Bill in so far as they		D. Gronow and R. Roberts

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TITLE	PURPOSE	KEY ISSUES	PRESENTING OFFICER
	directly impact on the work of the Audit Committee.		
<b>INFORMATION ITEMS</b>			
Regulation of Investigatory Powers Act 2000	To advise Members of the numbers of covert surveillance operations undertaken by the Council in accordance with the provisions of the Regulation of Investigatory Powers Act 2000 (RIPA)		L. Lane
Officers Declarations of Gifts and Hospitality	To provide the Audit Committee with the information regarding the Register of Employees' Interests Forms completed by officers of the Council (excluding Schools) regarding Gifts and Hospitality for the last quarter and a comparison with the previous three quarters.		L. Donovan
Corporate Complaints	To provide Members with an update on the number of complaints received under the Corporate Complaints Policy during 2020.	To update Members on the use of the Policy and Procedure to deal effectively with unacceptable, persistent or unreasonable actions by complainants.	L. Lane

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AUDIT COMMITTEE – 26TH JANUARY 2021			
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TITLE	PURPOSE	KEY ISSUES	PRESENTING OFFICER

AUDIT COMMITTEE – 20TH APRIL 2021			
TITLE	PURPOSE	KEY ISSUES	PRESENTING OFFICER
Verbal Update from Wales Audit Office (Standing Item)			Wales Audit Office
Regulator Recommendation and Proposal Register'			R. Roberts
Audit Plan			D. Gronow, S. Harris
CCBC Anti-Fraud Strategy (Provisional)			D. Gronow
Update on Implementation of Recommendation Tracker			D. Gronow, S. Harris
<b>INFORMATION ITEMS</b>			
Officers Declarations of Gifts and Hospitality	To provide the Audit Committee with the information regarding the Register of Employees' Interests Forms completed by officers of the Council (excluding Schools) regarding Gifts and Hospitality for the last quarter and a comparison with the previous three quarters.		L. Donovan

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AUDIT COMMITTEE – 20TH APRIL 2021			
TITLE	PURPOSE	KEY ISSUES	PRESENTING OFFICER
Regulation of Investigatory Powers Act 2000	To advise Members of the numbers of covert surveillance operations undertaken by the Council in accordance with the provisions of the Regulation of Investigatory Powers Act 2000 (RIPA)		L. Lane

AUDIT COMMITTEE – 8TH JUNE 2021			
TITLE	PURPOSE	KEY ISSUES	PRESENTING OFFICER
Verbal Update from Wales Audit Office (Standing Item)			Wales Audit Office
Corporate Risk Register Updates			R. Roberts
Annual Audit Outturn			D. Gronow, S. Harris
<b>INFORMATION ITEMS</b>			
Regulation of Investigatory Powers Act 2000	To advise Members of the numbers of covert surveillance operations undertaken by the Council in accordance with the		L. Lane

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AUDIT COMMITTEE – 8TH JUNE 2021			
TITLE	PURPOSE	KEY ISSUES	PRESENTING OFFICER
	provisions of the Regulation of Investigatory Powers Act 2000 (RIPA)		
Officers Declarations of Gifts and Hospitality	To provide the Audit Committee with the information regarding the Register of Employees' Interests Forms completed by officers of the Council (excluding Schools) regarding Gifts and Hospitality for the last quarter and a comparison with the previous three quarters.		L. Donovan